

NIH Other Support Changes

Effective January 25, 2022

Definition

Other support includes all resources made available to researchers or senior/key personnel in support of and/or related to all of their research endeavors, regardless of whether or not they have monetary value and regardless of whether they are based at the institution the researcher identifies for the current grant

NOTE: Other support does not include training awards, prizes, gifts or start-up support provided to the individual by the applicant organization.

Summary

- Changes effective January 25, 2022
- Documentation for Foreign appointments and/or employment required
- Additional sections added
 - Resources and/or Financial Support
 - Consulting
 - In-Kind
- Proposal Summary Approval Webform updated to the certification statement on the NIH Other Support (*this question will apply to all proposals submitted*)
- New Format

Resources and/or Financial Support

Resources and/or financial support from all foreign and domestic entities that are available to the researcher. This includes, but is not limited to:

- financial support for laboratory personnel
- provision of high-value materials that are not freely available (e.g., biologics, chemicals, model systems, technology, etc.)

(Institutional resources, such as core facilities or shared equipment that are made broadly available, should not be included in Other Support, but rather listed under Facilities and Other Resources)

**if this does not apply state “none” in this section.*

Consulting

Consulting agreements, when the PD/PI or other senior/key personnel will be conducting research as part of the consulting activities

(Non-research consulting activities are not Other Support)

**if this does not apply state “none” in this section.*

In-Kind Contributions

In-kind contributions, e.g. office/laboratory space, equipment, supplies, or employees or students supported by an outside source. If the time commitment or dollar value of the in-kind contribution is not readily ascertainable, the recipient must provide reasonable estimates.

**if this does not apply state “none” in this section.*

In-Kind Contributions (cont.)

- If in-kind contributions **are intended for use on the project being proposed** to NIH in this application, the information must be included as part of the Facilities and Other Resources or Equipment section of the application and need not be replicated on this form
- In-kind contributions **not intended for use on the project/proposal being proposed** in this application must be reported below. If the time commitment or dollar value is not readily ascertainable, reasonable estimates should be provided

Foreign Appointments and/or Employment

Supporting documentation, which includes copies of contracts/agreements specific to senior/key-personnel foreign appointments and/or employment with a foreign institution for all foreign activities and resources that are reported in Other Support. If the contracts/agreements are not in English, recipients must provide translated copies.

Training Awards/Prizes/Gifts

Other support does not include training awards, prizes, or gifts. Gifts are resources provided where there is no expectation of anything (e.g. time, services, specific research activities, money, etc.) in return. An item or service given with the expectation of an associated time commitment is not a gift and is instead an in-kind contribution and must be reported as such.

Proposal Approval Summary Webform

Comments and Certifications Section:

3. NIH (direct or flow-through) proposal-specific certifications:

a. I will provide the required supporting documentation, which includes copies of contracts/agreements specific to senior/key-personnel foreign appointments and/or employment with a foreign institution for all foreign activities and resources that are reported in Other Support. If the contracts/agreements are not in English, I will provide translated copies.

b. I certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

Major Format Changes

- Primary Place of Performance: Include the primary location where the project or activity is being executed.
- Total Award Amount: For active projects, provide the total award costs for the period of performance, including indirect costs. For a pending project, provide the proposed total costs for the period of performance.

Major Format Changes (cont.)

- Person Months: Indicate calendar or academic/summer months associated with each project. For an active project, provide the level of actual effort in person months (even if unsalaried) for the current budget period and indicate the proposed level effort for each remaining budget period.
- Signature: Each PD/PI or other senior key personnel must electronically sign their respective Other Support form prior to submission. This signature certifies that the statements are true, complete and accurate.

CONSORTIUM/CONTRACTUAL ARRANGEMENTS OR MULTI-PROJECT AWARDS:

*When providing Other Support under a consortium/contractual arrangement or that is part of a multi-project award: Indicate the project number, Name of PD/PI, and source of Support for the overall project. Provide **all** other information (e.g. total award amount, person months) for the subproject only.*

Signatures

Recipients and applicants may use the electronic signature software of their choice, and in alignment with their institutional practices. A typed name is not an electronic signature and is not acceptable.

Just In Time (JIT)

Other Support information in the new format is requested for:

All individuals designated in an application as senior/key personnel, **except**

- Program Directors, training faculty, and other individuals involved in the oversight of training grants
- Individuals categorized as Other Significant Contributors

Research Performance Progress Report (RPPR)

Other Support information in the new format is requested for:

All senior/key personnel, excluding consultants, in progress reports when there has been a change in active other support or when new senior/key personnel have been added to the project,

except

- Program Directors, training faculty, and other individuals involved in the oversight of training grants

Special Instructions for Joint University and Department of Veterans Affairs (VA) Appointments

Individuals with joint university and VA appointments may request the university's share of their salary in proportion to the effort devoted to the research project. The individual's salary with the university determines the base for computing that request. Signature by the Institutional Official on the application certifies that: (1) the individual is applying as part of a joint appointment specified by a formal Memorandum of Understanding between the university and the VA; and (2) there is no possibility of dual compensation for the same work, or of an actual or apparent conflict of interest regarding such work. Additional information may be requested by the awarding component(s).

Other Support Sample Format (Active)

*Name of Individual: Anderson, R.R.
Commons ID: [AndersonRR](#)

Other Support – Project/Proposal

ACTIVE

*Title: Chloride and Sodium Transport in Airway Epithelial Cells

Major Goals: The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

*Status of Support: Active

Project Number: 2 R01 HL 00000 - 13

Name of PD/PI: Anderson, R.R.

*Source of Support: NHLBI

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 03/2021 – 02/2026

* Total Award Amount (including Indirect Costs): \$1,492,232

* Person Months (Calendar/Academic/Summer) per budget period.

Year (YYYY)	Person Months (##.##)
1. 2022	3.6 calendar
2. 2023	3.6 calendar
3. 2024	3.6 calendar
4. 2025	3.6 calendar
5. 2026	3.6 calendar

Other Support Sample Format (Pending)

PENDING

*Title: Liposome Membrane Composition and Function

Major Goals: The major goals of this project are to define biochemical properties of liposome membrane components and maximize liposome uptake into cells.

*Status of Support: Pending

Project Number: DCB 950000

Name of PD/PI: Anderson, R.R.

*Source of Support: National Science Foundation

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 10/2021 – 09/2023

* Total Award Amount (including Indirect Costs): \$262,921

* Person Months (Calendar/Academic/Summer) per budget period.

Year (YYYY)	Person Months (##.##)
1. 2022	2.4 calendar
2. 2023	2.4 calendar

Other Support Sample Format (Consulting and Resources)

CONSULTING

*Entity: <Domestic or Foreign>

*Source of Support: <Sponsor>

Start and End Date: (MM/YYYY) (if available): <xx/xxxx-xx/xxxx>

* Total Amount: <\$00,000>

RESOURCES/FINANCIAL SUPPORT

*Entity: <Domestic or Foreign>

*Source of Support: <Sponsor>

Details: <use the goal statement field for this; may take a couple lines>

Start and End Date: (MM/YYYY) (if available): <xx/xxxx-xx/xxxx>

* Total Amount: <\$00,000>

Other Support Sample Format (In-Kind)

IN-KIND

*Summary of In-Kind Contribution: Post-doctoral fellow, Dr. John Smith, who conducts research activities in the Anderson lab. Salary supported by Oxford University.

*Status of Support: Active

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

*Person Months (Calendar/Academic/Summer) per budget period: N/A

*Estimated Dollar Value of In-Kind Information: \$80,000

Other Support Sample Format (Overlap and Signature)

***Overlap** (summarized for each individual):

There is scientific overlap between aim 2 of NSF DCB 950000 and aim 4 of the application under consideration. If both are funded, the budgets will be adjusted appropriately in conjunction with agency staff.

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

*Signature:

Date: March 25, 2022

Resources

- Research Office - <https://research.udel.edu/wp-content/uploads/2021/05/Other-Support-and-Appointment-Reporting-Guidance-for-Federal-Agencies-5-24-2021.pdf>
- NIH - <https://grants.nih.gov/grants/forms/othersupport.htm>